Meeting of the Secondary Markets Expert Group

To be held on: Wednesday 7 April 2021, 4.00pm – 5.30pm

Venue: Videoconference call

AGENDA

1. Welcome to Mark Manning, Technical Specialist, Sustainable Finance and Stewardship, FCA, in order to

discuss the FCA's work on ESG, including the implementation of the TCFD recommendations and the

principles being developed by the FCA.

2. Apologies

3. Issues for discussion

a) QCA Practical Guide to ESG

b) The impact of Brexit on member firms

c) Future meetings and speaker priorities for 2021.

4. Communications (information only)

a) The QCA/Peel Hunt Mid and Small-Cap Survey 2021, which was produced in conjunction with

YouGov, was released in March (available here). The report presents the results of surveys with 103

small and mid-cap fund managers and 141 small and mid-sized quoted companies.

b) On 15 April, the QCA will be releasing its Practical Guide to ESG at a launch event (see here). This new

QCA guide provides practical steps that small and mid-sized quoted companies can take to develop

how they examine and disclose their approach to those ESG issues that matter for them. It outlines

an approach that is proportionate to the resource constraints of smaller companies, whilst giving

investors and stakeholders the information that they need in a digestible manner.

5. Any other business

Next meeting: Wednesday 7 July 2021, 4.00pm - 5.30pm